Swab Sampling Policy & Procedures

G. Fish - Chief Steward

Effective 3rd April 2014
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Policy Objectives

The intention of this document is to ensure that Greyhound Racing Victoria (GRV) maintains a consistent approach towards the sampling of racing animals with respect to Quality Assurance and Security Standards.

Additionally, GRV is intent on ensuring that the standard of health and safety measures adopted are in accordance with best practice and adhere to the requirements of the Victorian OHS Act (2004)

The following guidelines are designed to provide clear and defined methods towards achieving the above stated objectives and maintain the integrity of the industry at the highest level.

Overview of the Procedures

The Stewards will determine which greyhounds are to be sampled.

The witness (trainer, trainer’s representative, handler or person in charge of the greyhound) of the greyhound will be given the opportunity to view the entire procedure including the collection of the sample. The Steward and Veterinary Surgeon (or other authorised person) should be present during this procedure. The same person should, wherever possible, witness the entire sampling procedure.

If, at any point, the sampling procedure is either contaminated or suspected of being contaminated, the sampling shall be aborted and the greyhound shall be locked away again and the procedure will be recommenced.

A sample should also be aborted if a typographical error of any type is recorded on the Sample Identity Document or Racing Industry Security Satchel unless all involved with the sample collection initial the correction and sign a Typographical Error Documentation Form outlining the reason for the error and the correction made at the time of the sample.

If any unusual circumstances arise during the collection procedure or processing of any documentation, a note is to be made regarding the query and brought to the attention of the Steward in Charge as soon as possible.

The labels used on the bottles are sealed in the security bag and only have one sample number printed on them. The greyhound’s name, the track where it raced and the names of the owner(s) or trainer do not appear amongst this information. The analyst, therefore, is only aware of the sampling numbers.

If you are unsure regarding any procedures during the process of collecting any sample, notify the Steward in Charge of the meeting as soon as possible.
Security Measures Pre and Post Race

As soon as practicable the Steward will inform a handler if samples are to be taken from a greyhound.

If this is the case, the Steward will accompany the handler and greyhound during the procedure. The Steward will keep the greyhound in full view at all times and minimise any handling and/or interference of the greyhound by persons other than the handler, including during presentations.

For post race samples, it is advisable that the greyhound have a drink of water before being locked away. This drink is to be taken at the regular wash bay using a regular hose and tap. No other drink, medications, supplements or edibles can be given to the greyhound at this point, nor can water be given from an introduced container.

The greyhound will either be locked away in its own race kennel or one specifically allocated for that purpose (e.g. swab bay). In the event the security of its own race kennel has been breached at some stage after pre-race removal, the procedure should continue with the greyhound kenneled in a swab bay without bedding (the trainer may use bedding stored in his/her vehicle and be accompanied to retrieve it) or the immediate collection of a sample.

Before locking the greyhound in a kennel, the Steward will check the greyhound’s bedding and the kennel itself for any abnormalities and invite the handler to check same. No other bedding, muzzle or other item is to be introduced during this procedure.

The greyhound will then be locked in the kennel using a numbered security seal, a record of this seal number will be made on the kennel pass. The kennel bay will then be locked with a Steward’s padlock.

If for any reason a person needs to enter the kennel bay (i.e. to collect a greyhound to go home) that person will be accompanied by a Steward. A Steward will witness the retrieval of the greyhound and re-lock the kennel bay.

Under normal circumstances, the greyhound to be sampled will be locked away for at least the next two races or for at least 40 minutes if it competed in the last event.

In the case of a coursing Event, once a decision is made to sample a greyhound it will be secured in a vehicle or trailer supplied by the trainer (or their representative) and the greyhound will remain under the observation of a Steward until such time the sample is taken. As competing greyhounds are not kenneled, it is the trainer’s responsibility to ensure the security of their greyhound prior to any Event.

Commencing the Sampling Procedure

The Steward and/ or authorised person shall ensure that all sampling procedures are to take place in a clean and tidy area. Any item of equipment located within the swabbing area and the accompanying preparation room identified as being in a damaged condition or state must be reported to a Club representative as soon as possible for repair or replacement.
Swabbing areas that are in a dirty state or where the risk of contamination is more likely should be reported to a Club representative before collecting any samples.

The Veterinary Surgeon (or authorised person) and the Steward are to wash their hands prior to the commencement of the sampling process. For saliva samples the Veterinary Surgeon (or authorised person) should wear gloves.

The Sample Collection Kit will be opened in full view of the handler, after checking that it hasn’t been opened prior to this time.

For urine samples, the Veterinary Surgeon (or authorised person) will rinse the sample collection pot with warm water and then use the control solution provided in the Sample Collection Kit to set up the control sample by thoroughly rinsing the sample collection pot with the control solution. The solution must be returned to the original sample bottle and the cap replaced firmly. Whilst the urine sample is being collected, the security of the control solution should be ensured at all times. Depending on the circumstances, the Steward or Veterinary Surgeon (or authorised person), should keep the control solution in his/her possession in view of the witness until the sample is packaged.

The purpose of the control sample is to ensure that all of the containers and surfaces with which the greyhound’s urine will come into contact with are uncontaminated. If a prohibited substance is detected in the original sample, the analyst will also test the control sample for the substance. Its absence in the control will indicate that the substance was present in the greyhound’s urine.

Retrieval of the Greyhound

The Steward and the Veterinary Surgeon (or authorised person) will accompany the handler when it is time to retrieve the greyhound from the secured kennel.

In full view of the handler, the Steward will note the security seal number and that it remains intact. This security seal will be on the kennel bay for pre-race samples or the individual kennel for post-race samples. The handler will be given an opportunity to also check the seal number before the seal is broken.

The kennel will then be unlocked and the greyhound retrieved and identified by checking the greyhound’s ear brands or microchip against the identification records or alternatively by other means available.

The greyhound will then be taken to an area for the purpose of collecting a sample, accompanied by the handler, the Veterinary Surgeon (or authorised person) and the Steward.
The Collection of the Sample

Urine Sample Guidelines

Care must be taken to reduce exposure to dusts, insects, leaf litter or other foreign matter in the swabbing area.

Safety Notes

During the time that the greyhound is located in the swabbing area, the minimum number of persons must be located in that enclosed area due to the potential greyhound related hazards.

When collecting urine samples, hands must be washed between collections to reduce the risk of cross contamination.

Equipment Required

Unless otherwise stated, the Stewards are responsible for providing the following equipment necessary to perform the sampling procedures.

A Sample Collection Kit containing:

- Three (3) 200 ml sample collection bottles with caps secured – One (1) bottle contains 100 ml of control fluid (1.0% acetic acid).

- One (1) tamper evident, barcoded, three (3) pocketed - “Racing Industry Security Satchel”.

- One (1) barcoded “Sample Identity Document”.

- One (1) set of three (3) barcoded tamper evident seals.

A portable refrigerator or other vessel suitable for the storing and transportation of samples.

A sample collection pot.

*NOTE: The appointed Veterinarian (or authorised person) should make sure that all the necessary equipment is available before the start of the meeting. Where any of these items are missing, the Steward in Charge must be notified immediately.*
Collection of the Urine Sample

1. When a urine sample cannot be obtained, a blood sample may be collected in accordance with these guidelines. Before taking a blood sample, the Steward in Charge of the race meeting should be notified. Additionally it should be noted that the Sample Collection Kit Cancellation Form must be followed with the cancelled urine Sample Collection Kit.

2. Once the urine has been collected in the previously rinsed (water and control solution) sample collection pot, it will be taken back to the Veterinary Surgeon’s room (or other designated swabbing area) while at all times in full view of the handler/witness and Steward.

3. The Veterinary Surgeon (or authorised person) shall now thoroughly rinse the control solution through each of the sample bottles. Each bottle should be shaken and rinsed with its respective cap on. Return the control solution to the original control sample bottle and place its cap firmly on so as to prevent any leakage.

4. In most cases sufficient urine will be collected for the Veterinary Surgeon (or authorised person) to divide the sample in two (2). If this is not the case, one sample bottle can remain empty, then sealed as normal. For small quantities the urine should be divided unequally (2/3 and 1/3) into each of the two rinsed sample bottles with each cap screwed on tightly. As a guide, bottles need not be filled past the shoulder. The caps of the sample bottles must be secured tightly prior to the security seals being attached so as to prevent leakage.

The Steward or Veterinary Surgeon (or authorised person) shall:

5. Confirm with the witness that the Sample Identity Document, Racing Industry Security Satchel and tamper evident seals all bear the same sample number.

6. Place one (1) seal across each of three (3) sample bottles by placing the middle of the seal over the top of the cap, and then press the sides of the seal firmly down both sides of the bottle.

7. Complete all details on the Racing Industry Security Satchel with a ballpoint pen by placing an “X” in the appropriate box. This information includes racing code, date, sex of the greyhound and sample type.

8. Insert each sealed bottle into a pocket of the Racing Industry Security Satchel the same way – i.e. all lids facing the same way to avoid possible leakage. The control sample should be sealed in the middle pocket. The larger urine sample should be placed in the left pocket. Remove trapped air and peel off the protective tape to expose the adhesive before pressing down firmly on the tamper evident seal.
**Blood Sample Guidelines**

The Veterinary Surgeon should comply with the following sampling procedures when obtaining samples.

The person responsible for handling the greyhound must be a licensed individual who is entitled to be located and work within the restricted area.

**Safety Notes**

When performing the following activity, the Veterinary Surgeon will be required to stand in close proximity to the greyhound during the collection of blood. Prior to performing blood-sampling activities, the Veterinary Surgeon must make an assessment of the situation to ensure the safety of themselves, any Steward or official and the licensed person attending with the greyhound (where applicable). All persons not involved with blood sampling activities are required to be removed from the immediate site.

All sharps must be disposed of in an approved sharps disposal container.

**Equipment Required**

Unless otherwise stated, the Stewards are responsible for providing the following equipment necessary to perform the sampling procedure.

A Sample Collection Kit containing:

- Two (2) 200 ml sample collection bottles with caps secured - Both bottles contain one (1) x 10mL lithium heparin vacutainers which should each be labeled with one (1) of the six (6) barcoded tamper evident labels (A-F).
- One (1) tamper evident, barcoded, three (3) pocketed – “Racing Industry Security Satchel”.
- One (1) barcoded “Sample Identity Document”.
- One (1) set of three (3) barcoded tamper evident seals.
- One (1) 20mL sterile syringe, one (1) 22g ¾” sterile needle and two (2) sterile skin cleansing swabs.

A portable refrigerator or other vessel suitable for the storing and transportation of samples.

*NOTE: The appointed Veterinarian should make sure that all the necessary equipment is available before the start of the meeting. Where any of these items are missing, the Steward in Charge must be notified immediately.*
Collection of the Blood Sample

The Steward or Veterinary Surgeon must identify the greyhound by checking the brand or microchip against the official identification records or alternatively by other means available.

The Veterinary Surgeon shall:

1. Collect the sample from the site with which he/she is most comfortable. Haematoma formation following venipuncture in greyhounds is more likely to occur following exercise and with lay assistance. Appropriate haemostasis via application of a pressure bandage to the cephalic vein may lead to this site being preferable to jugular venipuncture.

2. Where possible collect two (2) vacutainers of blood identifying each by recording the barcoded RASL (Racing Analytical Services Limited) number of the Sample Collection Kit on the exterior of each tube.

3. Place one (1) vacutainer of blood in one (1) plastic sampling bottle – the remaining one (1) vacutainer is to be placed in the other plastic sampling bottle.

4. In the event of less than 20mL of blood being available, place at least 5mL in each vacutainer and up to 10mL should be placed in the vacutainer which is placed in the left pocket of the Racing Industry Security Satchel, before the vacutainer for the right pocket is filled beyond 5mL.

The Steward or Veterinary Surgeon (or authorised person) shall:

5. Confirm with the witness that the Sample Identity Document, Racing Industry Security Satchel and tamper evident seals all bear the same sample number.

6. Secure each bottle firmly with the screw top cap and place one (1) seal across each of the two (2) sample bottles by placing the middle of the seal over the top of the cap, and then pressing the sides of the seal firmly down both sides of the bottle.

7. Complete all details on the Racing Industry Security Satchel with a ballpoint pen by placing an “X” in the appropriate box. This information includes racing code, date, sex of the greyhound and sample type.

8. Insert each sealed bottle into a pocket of the Racing Industry Security Satchel (with the largest blood sample placed in the left pocket) and place the remaining tamper evident seal in the empty pocket. Remove trapped air and peel off the protective tape to expose the adhesive before pressing down firmly on the tamper evident seal.
Saliva Sample Guidelines

The Veterinary Surgeon (or authorized person) should comply with the following sampling procedures when obtaining samples.

The person responsible for handling the greyhound must be a licensed individual who is entitled to be located and work within the restricted area.

Safety Notes

When performing the following activity, the Veterinary Surgeon (or authorised person) will be required to stand in close proximity to an un-muzzled greyhound during the collection of saliva. Prior to performing saliva-sampling activities, the Veterinary Surgeon (or authorised person) must make an assessment of the situation to ensure the safety of themselves, any Steward or official and the licensed person attending with the greyhound (where applicable). All persons not involved with saliva sampling activities are required to be removed from the immediate site.

Equipment Required

Unless otherwise stated, the Stewards are responsible for providing the following equipment necessary to perform the sampling procedure.

A Sample Collection Kit containing:

- Two (2) 150 ml sample collection bottles with caps.
- Two pre-labelled collection tubes with blue lids
- Two pre-labelled transportation tubes with clear lids.
- One (1) tamper evident, barcoded, two (2) pocketed – “Racing Industry Security Satchel”.
- One (1) barcoded “Sample Identity Document”.
- One (1) set of two (2) barcoded tamper evident seals.

A portable refrigerator or other vessel suitable for the storing and transportation of samples.

Gloves (e.g. latex examination gloves)

NOTE: The appointed Veterinarian should make sure that all the necessary equipment is available before the start of the meeting. Where any of these items are missing, the Steward in Charge must be notified immediately.
Collection of the Saliva Sample

The Steward or Veterinary Surgeon must identify the greyhound by checking the brand or microchip against the official identification records or alternatively by other means available.

The Veterinary Surgeon (or authorised person) shall:

1. Remove tubes from the sealed bag. Open the two blue lid tubes and remove the saliva collectors. Do not discard tubes. Join the two collectors by pressing the two flat dimpled surfaces together.

2. Place the device into the dog’s mouth and swab the mouth until either the indicator turns blue or for approximately one (1) minute.

3. Separate the devices, open one of the transportation tubes (white lid) and eject the collector into the fluid (place thumb on flat smooth part of the device and push down releasing the collector into the fluid). Cap the tube. Return the empty collection device to the blue lid tube and cap. Keep together. Repeat with the other collector.

The Steward or Veterinary Surgeon (or authorised person) shall:

4. Place one of the transportation tubes (white lid) into each 150mL bottle and cap.

5. Secure each bottle firmly with the screw top cap and place one (1) seal across each of the two (2) sample bottles by placing the middle of the seal over the top of the cap, and then pressing the sides of the seal firmly down both sides of the bottle.

6. Complete all details on the Racing Industry Security Satchel with a ballpoint pen by placing an “X” in the appropriate box. This information includes racing code, date, sex of the greyhound and sample type. For sample type print “SALIVA” in one of the boxes.

7. Insert each sealed bottle into a pocket of the Racing Industry Security Satchel and place the corresponding collection tube (blue lid) alongside it. Remove trapped air and peel off the protective tape to expose the adhesive before pressing down firmly on the tamper evident seal.
Witnessing the Documentation

1. The Steward or Veterinary Surgeon (or authorised person) shall complete the Sample Identity Document.

2. After the security bag has been sealed, it is imperative that all parties again check that the Sample Identity Document, Racing Industry Security Satchel and tamper evident seals all bear the same sample number. The kennel number and security seal should also be recorded on the Sample Identity Document with the initials of the Steward overseeing the sample collection.

3. The Steward or Veterinary Surgeon (or authorised person) shall request the trainer, trainer’s representative or person in charge, who has witnessed the collection and packaging, to read and sign the Sample Identity Document declaration. The witness should be asked to produce their ID card for entry of licensee number onto the document.

4. The Steward or Veterinary Surgeon (or authorised person) shall sign the Sample Identity Document having witnessed the collection and packaging of the sample and witnessed the trainer, trainer’s representative or person in charge, signing the Sample Identity Document declaration.

5. The Steward must ensure the white and yellow copies of the Sample Identity Document are given to the Steward in Charge and the pink copy is given to the witness. This document includes relevant information such as the sample number, the track where the sample was taken and the date and time the sample was taken.

6. The Steward is to ensure the sealed pack is returned to the Steward’s Room as soon as is practicable. Here it will be stored securely in a locked receptacle during the race meeting until returned to the Steward in Charge at the conclusion of the meeting, where he/she will follow the GRV Samples – Chain of Custody Form Procedure.

7. The Steward to whom the Sample Collection Kit has been allocated shall supervise the loading of the sample information into FastTrack in accordance with the procedure described in the FastTrack Workbench User Guide – Stewards.
GRV Samples – Chain of Custody Form Procedure

At the completion of each meeting the Steward in Charge shall complete a “GRV Samples – Chain of Custody Form”.

As this form will be filed under the name of the Steward in Charge, it is important that the name of the Steward in Charge and the meeting date are recorded.

For all samples taken, record the Sample Identity Document number only i.e. V123456

The form allows for 10 samples to be recorded. In the event of more than 10 samples being taken, a second form is to be used, noting page 2 of 2.

If these samples are given to any other Steward for delivery, this section is to be completed on the Custody Form, and kept with the samples received.

Once delivered to RASL, the record of delivery will also be noted. i.e. Delivered to: RASL – time and date.

The Chain of Custody Form is then to be attached to the RASL Delivery Receipt and given to the Stewards Administration Officer via the meeting envelope for filing.

Where a sample or samples are delivered via a courier, this form is to be forwarded directly to the Stewards Administration Officer noting Delivered to: (insert name of courier – company), time and date.

Where a sample is cancelled a Sample Collection Kit Cancellation Form must be completed. Please ensure that any cancelled Sample Collection Kit is returned to RASL. The form is to be stapled to the Chain of Custody Form and RASL Delivery Receipt, accompanied with the yellow copy of the Sample Identity Document and returned to the Stewards Department.
GRV Samples – Chain of Custody Form

This form is to be retained by the Steward who is in custody of the Samples recorded below, until they are delivered to RASL. It is then to be attached to the RASL Delivery Receipt and returned to GRV offices. In the event that the samples are delivered via a courier, this form is to be included in the Meeting envelope and returned to GRV as soon as possible.

Steward: ________________________ Date Taken: __/__/________________
Sample No. ________________________

______________________________

______________________________

______________________________

Delivered to: ________________________ Time: __am / pm
Date: __/__/________________

(Print Name - Signature)

Delivered to: ________________________ Time: __am / pm
Date: __/__/________________

(Print Name - Signature)

Delivered to: ________________________ Time: __am / pm
Date: __/__/________________

(Print Name - Signature)
Sample Collection Kit Cancellation Form

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Reason: (outline clearly)

Indicate the Sample No if a subsequent sample was taken: Sample No:

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Steward-in-Charge (Name and signature) Swabbing Steward (Name and signature)

Please ensure that any cancelled Sample Collection Kit is returned to RASL. This form is to be stapled to the Chain of Custody form and RASL Delivery Receipt accompanied with the yellow copy of the Sample Identity Document and returned to the Stewards Department.
Typographical Error Documentation Form

We declare that the following typographical error was made on the Sample Identity Document/ Racing Industry Security Satchel (please circle).

Sample No. ______________________

The Greyhound sampled was ____________________________

The typographical error was______________________________

The correction is_______________________________________________________________________

We have witnessed and are satisfied with the collection and packaging of the sample. The witnesses accept the typographical error has no effect on the integrity of the sample collected and have signed the Sample Identity Document declaration as a result.

Signed___________________________ Print name____________________________ Date___________

Signed___________________________ Print name____________________________ Date___________

Signed___________________________ Print name____________________________ Date___________

Signed___________________________ Print name____________________________ Date___________
GRV Sampling - Future Testing Policy

After initial testing, the samples taken from the following greyhounds will be frozen and stored for up to five years, and these samples will be then be resubmitted for re-testing at some time in the future:

- All placegetters sampled in Group Finals.
- All greyhounds sampled after breaking a track record in Victoria.
- A significant number of samples determined randomly from those submitted to RASL by GRV.
- Any other samples selected at the discretion of GRV stewards.

It should also be noted the Greyhounds Australasia Rules 80 (4) (5) and (6) stipulate that ‘normal’ prohibited substance rules apply in the event of a positive result being detected in a resubmitted test.

The Chief Steward shall maintain a register of samples stored by Racing Analytical Services Limited for the purposes of this policy.

For administration purposes, the Steward in Charge of a meeting should where possible ensure the Chain of Custody Form Procedure is followed with a separate Chain of Custody Form completed for samples to be stored under this policy.
Collection for the Identification of Medication or Miscellaneous Substances

Sample Guidelines

Under the provisions of GAR 18, an officer of the Controlling Body may take possession of a substance found on the premises of a licensed person for the purposes of any examination or test to be carried out where the officer of the Controlling Body believes that an offence has been, may have been or is being committed. Under the provisions of GAR 84 the Stewards may take possession of a Prohibited Substance to test or destroy.

Safety Notes

Care must be taken to avoid needle-stick injuries when using sharps. All sharps must be disposed of in an approved sharps disposal container.

Care should be taken when handling unknown substances and where possible these substances should not be touched e.g. by using gloves. Hands must be washed between collections to reduce the risk of cross contamination.

Equipment Required

Unless otherwise stated, the Stewards are responsible for providing the following equipment necessary to perform the sampling procedures.

A Sample Collection Kit containing:

- One (1) 5mL sterile syringe and one (1) 22g ¾” sterile needle.
- One (1) 20mL sterile specimen jar and one (1) 5mL sterile specimen jar.
- One (1) tamper evident seal with “S” number and signature lines.

A portable refrigerator or other vessel suitable for the storing and transportation of samples.

*NOTE: The Stewards (or authorised person) should make sure that all the necessary equipment is available before the start of an inspection.*

Collection of the Sample

The substance should be placed in the smaller sterile specimen jar either by use of the syringe and needle or pouring it from the original container. This container should then be placed in the larger specimen jar before sealing this jar with the signed tamper evident seal. This should be signed by the Steward and either the trainer or another witness. The GRV Kennel Samples – Chain of Custody Form should then be completed.

GRV Swab Sampling Policy & Procedures – Revised April 2014
GRV Kennel Samples – Chain of Custody Form

This form is to be retained by the Steward who is in custody of the Samples recorded below, until they are delivered to RASL. It is then to be attached to the RASL Delivery Receipt and returned to GRV offices. In the event that the samples are delivered via a courier, this form is to be returned to GRV as soon as possible.

Steward: __________________________ Date: _____/____/____

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<tr>
<th>Seal Number</th>
<th>Trainer</th>
<th>Substance Description</th>
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Delivered to: __________________________

_____________________________

(PRINT NAME - SIGNATURE)

Time: ____________am/pm

Date: ____/____/____

Delivered to: __________________________

_____________________________

(PRINT NAME - SIGNATURE)

Time: ____________am/pm

Date: ____/____/____